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# Application of machine learning to the prediction of postoperative sepsis after appendectomy

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#### Abstract

**Background:** We applied various machine learning algorithms to a large national dataset to model the risk of postoperative sepsis after appendectomy to evaluate utility of such methods and identify factors associated with postoperative sepsis in these patients.

**Methods:** The National Surgery Quality Improvement Program database was used to identify patients undergoing appendectomy between 2005 and 2017. Logistic regression, support vector machines, random forest decision trees, and extreme gradient boosting machines were used to model the occurrence of postoperative sepsis.

**Results:** In the study, 223,214 appendectomies were identified; 2,143 (0.96%) were indicated as having postoperative sepsis. Logistic regression (area under the curve 0.70; 95% confidence interval, 0.68–0.73), random forest decision trees (area under the curve 0.70; 95% confidence interval, 0.68–0.73), and extreme gradient boosting (area under the curve 0.70; 95% confidence

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Conflicts of interest/Disclosure

The authors have no related conflicts of interest to declare.

Supplementary data

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interval, 0.68–0.73) afforded similar performance, while support vector machines (area under the curve 0.51; 95% confidence interval, 0.50–0.52) had worse performance. Variable importance analyses identified preoperative congestive heart failure, transfusion, and acute renal failure as predictors of postoperative sepsis.

**Conclusion:** Machine learning methods can be used to predict the development of sepsis after appendectomy with moderate accuracy. Such predictive modeling has potential to ultimately allow for preoperative recognition of patients at risk for developing postoperative sepsis after appendectomy thus facilitating early intervention and reducing morbidity.

#### Introduction

Sepsis continues to be a leading cause of preventable morbidity and mortality in surgical patients. Accounting for more than \$20 billion dollars in annual costs to our nation's hospital system, there is increasing attention of payors for providers to reduce the incidence of postoperative sepsis. Acute appendicitis is one of the most common abdominal surgical emergencies worldwide. Although the rate of sepsis after appendectomy is low, when it does occur, it confers increased morbidity and even mortality for the patient. The low rate of sepsis after appendectomy limits an individual surgeon's experience with recognizing the patients at risk for this complication. In these clinical scenarios, data aggregation from electronic health records can be used for modeling and predicting these rare events using artificial intelligence (AI). There is the potential that the incorporation of AI into surgical practices would benefit patient care.

Since the Health Information Technology for Economic and Clinical Health Act passed in 2009, <sup>6</sup> data from millions of patients nationwide is now captured in different electronic health records (EHR) across multiple institutions. The complexity, heterogeneity, size, and rate at which this data is generated, however, has out-paced the labor-intensive analytical techniques traditionally used in medicine. <sup>7</sup> Machine learning (ML), a branch of AI, seeks to overcome the limitations of traditional statistical techniques in analyzing this type of data. Compared with conventional clinical statistical methods, which rely on a set of programmed rules, ML is a type of algorithm in which the model learns from examples such that a task can be correctly performed on the encounter of novel inputs. <sup>8</sup> Whereby it would take a lifetime to gather robust clinical experience with treating a rare condition or to manually classify and analyze hundreds of millions of data points, ML models are able to find statistical patterns across numerous heterogeneous features relatively quickly. <sup>9</sup>

These algorithms have already been widely used in other industries for decades. <sup>10</sup> In recent years, there has been growing interest in the application of ML in health services research, where it has begun to be perceived as an innovative tool with potential to harness the complexity of big data to provide predictive power and improve clinical decision making. <sup>11</sup> The application of ML in the clinical setting, however, particularly within the field of surgery, has been limited for reasons including difficult interpretability. In this proof of concept study, we aim to use a widely used, nationally validated surgical dataset to evaluate the performance of different ML algorithms against traditional statistical methods in prediction of a rare postoperative sepsis after appendectomy.

#### **Methods**

#### Patient population

Using the American College of Surgeons National Surgical Quality Improvement Program (ACS NSQIP) database, Current Procedural Terminology codes were used to identify cases of open (44950, 44960) and laparoscopic (44970, 44979, 44950, 44960) appendectomy performed as the principle operative procedure in patients age 16 years and older between 2005 and 2017. In an effort to target only cases in which sepsis developed as a new diagnosis postoperatively, records coded for sepsis 48 hours before appendectomy were excluded from analysis. This study was approved by the Loyola University Chicago Institutional Review Board as exempt. American College of Surgeons National Surgical Quality Improvement Program and the hospitals participating in the ACS-NSQIP are the source of the data used herein; they have not verified and are not responsible for the statistical validity of the data analysis or the conclusions derived by the authors.

#### **Definitions and variable selection**

The primary outcome of interest was postoperative sepsis, defined in this study as the presence of sepsis or septic shock. Specifically, for the diagnosis of sepsis, either preoperative or postoperative, ACS-NSQIP requires the presence of documented infection or end organ ischemia in addition to at least 2 of the 5 clinical signs and symptoms of systemic inflammatory response syndrome. Septic shock was defined as the presence of sepsis in addition to documented organ or circulatory dysfunction. Independent variables included for analysis were selected a priori from those available in the NSQIP dataset as those clinical parameters felt by the practicing physicians on the research team as the ones that are likely to be associated with the development of postoperative sepsis (Table I).

#### Missing data handling

Missing data patterns were imputed through multivariable imputation by chained equations to obtain a complete analytical dataset. Multivariable imputation by chained equations imputes missing values for all covariates through a chained equation process using various methods such as predictive mean matching, classification and regression trees, random forest (RF), and sample. We compared each of these methods on a randomly selected subset of data. In this study, we used predictive mean matching to impute continuous variables, which is also a preferred method for skewed data, and logistic regression for categorical variables.

#### Statistical methods and ML algorithms

General descriptive statistics were calculated as frequency and percentages and compared between cohorts using  $\chi^2$  test for categorical variables and Student t tests for continuous variables. For the development of ML algorithms, the dataset was randomly split into 80% training  $^{14}$  and 20% hidden testing  $^{14}$  datasets across all years with measures to prevent overfitting and ensure validation. In this setup, a hidden test set was used as a hold-out dataset where we built a model using training data and further tested its validity on the unused hidden test data.

Classification algorithms implemented on the training dataset included traditional multivariable logistic regression (LR) and 3 ML algorithms: support vector machines (SVM), random forest decision trees (RFDT), and extreme gradient boosting (XGB) machines. SVM, RFDT, and XGB machines were chosen for this analysis as these are among the most commonly used types of supervised ML methods and best used for classification and regression tasks as in the case of this study. <sup>14,15</sup>

Model performance was reported using standard metrics of epidemiology and organized by area under the receiver operating characteristic curve (AUC-ROC). Subgroup analysis was implemented using the calculated predicted probability based off the ensemble of the best model. Variable importance analysis was conducted to reveal the influence of predictors on model performance. Variable importance values were normalized (0–100) for simplicity. All data acquisition and analysis were performed in R (version 3.6.1, The R Foundation for Statistical Computing) and Python 3.5.6.

#### Results

#### Missing data

Of the preoperative variables included in the prediction models (Table I), there were 11 variables that had greater than 1% missing data (Table II). Of these 11 variables, 10 were preoperative laboratory values. The variable with the highest rate of missing data was serum albumin (31.6%) while white blood cell count had the lowest rate (3.5%). Descriptive statistics of variables before and after imputation provided similar results (data not shown).

#### **Descriptive characteristics**

The NSQIP data base included 223,214 records for appendectomy, 94.64% of which were performed for a primary diagnosis of appendicitis. There were 21,839 (9.8%) cases performed using an open approach, whereas the majority (n = 201,375; 90.2%) were completed laparoscopically. Patients were  $39.8 \pm 16.3$  years old and predominately male (50.9%) and white (70.4%). The incidence of postoperative sepsis was 0.96% (n = 2,143), of which, 7.6% developed septic shock. The 30-day mortality rate was significantly higher in patients who developed postoperative sepsis (2.6%) compared with the overall mortality rate in the study population (0.07%, P < .001). Univariate analysis (Table III) revealed that postoperative sepsis after appendectomy was more likely to occur in patients who were older (48.1 years vs 39.8 years, P < .001), black (10.8% vs 7.6%, P < .001), and had comorbid conditions, including both insulin and noninsulin dependent diabetes, congestive heart failure (CHF), hypertension, renal failure, disseminated cancer, chronic steroid use, and bleeding disorders (all P values < .001).

#### Prediction of postoperative sepsis

Table IV summarizes the performance of each of the prediction models reported in terms of AUC-ROC. LR, RFDT, and XGB machines models provided equivocal predictive accuracy (AUC 0.70; 95% confidence interval [CI], 0.68–0.73), whereas SVM yielded significantly lower performance (AUC 0.5142; 95% CI, 0.50–0.53). The XGB machines model provided the highest accuracy of any single methodology (AUC 0.7030; 95% CI, 0.68–0.73). A final

model was constructed using an ensemble of the best models including LR, RF, and XGB machines. This ensemble model yielded the highest attained predictive accuracy (AUC 0.71; 95% CI, 0.69–0.73).

Subgroup analysis performed using the ensemble model revealed overall similar performance within cohorts compared with model accuracy in the study population. The ensemble model had higher predictive accuracy in females, open cases, and in white patients (Table V). Figure 1 shows the top-20 predictors for the XGB machines classifier. Variable importance analysis revealed that the factors most strongly associated with postoperative sepsis in this model were recent 30-day exacerbation or diagnosis of CHF, transfusion of 1 or more units of red blood cells within 72-hours preoperatively, and acute renal failure within 24 hours prior (variable importance scores of 100.0, 48.04, 44.81, respectively).

#### Association between postoperative sepsis and mortality

The rate of 30-day postoperative mortality in our cohort was <0.1%. Given the markedly low event rate, for the purposes of this study, a separate postoperative mortality prediction model was not constructed. Postoperative mortality was therefore examined using the predicted risk for postoperative sepsis as a risk for mortality from which classification accuracy statistics were calculated and summarized (Table VI). Overall, the postoperative sepsis prediction models performed well in predicting postoperative 30-day mortality, particularly the ensemble and RFDT models.

#### **Discussion**

In this study we hypothesized that ML algorithms, a type of AI, could be used to model prediction of postoperative sepsis in patients undergoing appendectomy using a national database. The predictive accuracy of 4 different models: LR, SVM, RFDT, XGB machines as well as an ensemble model were compared. Using only variables that could be determined prospectively prior to operative intervention, we found that overall, there was no significant improvement in ML model accuracy, measured by our median AUC-ROC of 0.70 (interquartile range, 0.70-0.71) over traditional LR (AUC 0.70; 95% CI, 0.68-0.73). Our model accuracy was highest in our ensemble classifier (AUC 0.71; 95% CI, 0.69–0.73), which used the LR, RF decision trees, and XGB machines as the inputs to develop a prediction. The results reflect the ability of an ensemble ML model to aggregate the prediction of each constituent model to achieve higher prediction accuracy than any individual model alone. In this study, the improvement in prediction accuracy afforded by the ensemble model was marginal compared with traditional LR. The similar AUC-ROC achieved between models, however, demonstrates that even in the case of predicting rare events, such as postoperative sepsis after appendectomy, there are preoperative signs of postoperative sepsis as captured even within a relatively small number of features.

Although our study was relatively limited in the number of features available to create prediction tasks, other studies have demonstrated ML to be a powerful tool in transforming large amounts of complex EHR data into prognostic models with applications in clinical decision support, resource allocation, and health care workflow design.<sup>8,16,17</sup> Wong et al demonstrated that when applied to institutional EHR data comprising 796 variables, ML

algorithms, similar to those used in our study, outperformed current clinical tools in predicting development of in-patient delirium. <sup>17</sup> Similarly, Taylor et al found that ML outperforms LR and other traditional clinical tools such as CURB-65 for prediction of sepsis, as captured through 1,697 different International Classification of Disease, version 9, codes with EHR data from 5,278 emergency department visits. <sup>16</sup> Rajkomar et al used a total of 46,864,534,945 raw EHR data elements of varied temporal relationships from which they were able to create prediction tools for in-patient mortality, 30-day readmission, prolonged duration of stay, and diagnosis at discharge that outperformed traditional clinical prediction tools at every time point. <sup>8</sup>

As demonstrated by the aforementioned studies, when afforded a large number of inputs, AI has the ability to make earlier and more accurate predictions. Such prediction models have the potential to facilitate early intervention, which has been shown to reduce complications, hospital duration of stay, and cost. <sup>18</sup> In surgery, early identification afforded by AI prediction tools, such as in the case of postappendectomy sepsis, can aid surgeons in their decision making regarding the timing and type of intervention, selection and duration of perioperative antibiotics, postoperative disposition, additional monitoring, and need for follow-up surveillance imaging for detection of early abscess formation, particularly in cases that otherwise would not have raised suspicion in the majority of providers as being high risk for sepsis.

Postoperative sepsis is known to be associated with increased mortality, <sup>19</sup> and sepsis has been found to be a predictor of postoperative 30-days mortality. <sup>20,21</sup> Because of the low mortality rate (<0.1%) after appendectomy, we did not build a mortality prediction model in this study. Instead, we used the risk of postoperative sepsis that was predicted by the model as a risk factor for mortality. In doing so, we obtained a very high predictive accuracy (as high as AUC of 0.96) on our 20% hold-out test. This result confirms that there may be clusters of postoperative complications identified by preoperative patterns and sepsis and mortality may be placed in the same cluster.

Critiques of ML have cited the lack of interpretability and intrinsic ambiguity that is inherent to "black box" type models as limitations in their utility within clinical medicine. <sup>22</sup> In an effort to address this concern, we performed a variable importance analysis, which allowed insight into the variables that had the greatest influence within the ML model. The fact that our results yielded factors that are known to be clinically relevant to the development of sepsis (CHF, renal failure, preoperative blood transfusion)<sup>4</sup> validated our ML model. To draw conclusions regarding the results of the variable importance analysis, however, our model would need to be externally validated outside of the NSQIP setting (eg, demonstrating the model predictive performance in non-NSQIP data sets, such as EHR data or PCORNet's Clinical Data Research Network data). <sup>23</sup> If externally validated, the preoperative risk factors found to be strong predictors of postoperative sepsis may assist clinicians in optimizing modifiable risk factors in the case of elective surgery. In cases of nonmodifiable risk factors, these results may improve shared decision making with patients preoperative disposition and duration of stay.

There are a few important limitations to this study. First, the direct clinical implementation of our proposed model may be limited due to a high rate of false positives. Previous studies have shown, however, that integration of our model with preoperative clinical text notes may significantly improve the postoperative sepsis prediction and reduce false positive rates.<sup>21</sup> Additionally, we chose to include all cases of appendectomy in an effort to maximize the size of the dataset. After rerunning our analysis on adults age 18 years and older, we found no significant difference in results of model performance (Appendix A). We also chose to limit our study to only those patients who were indicated as not having a diagnosis of sepsis preoperatively. We understand this as a potential point of contention, as the pathophysiology of acute appendicitis is by definition a systemic inflammatory process secondary to infection. We felt that by eliminating patients who were systemically ill enough to have a diagnosis of sepsis preoperatively, we could, in theory, isolate our prediction task to those patients who were at an early stage of the disease pathology or perforation, therefore making prediction of postoperative sepsis more difficult. In doing so, we identified CHF and acute renal failure as risk factors for postoperative sepsis which is supported by similar studies.<sup>4,5</sup> Laparoscopy, which has been shown to potentially reduce postoperative complications.<sup>24</sup> was not identified on variable importance analysis as being an important predictor within the models. Additionally, we were not able to include data regarding intraoperative findings, such as retrocecal or gangrenous appendix, as these may significantly contribute to the development of postoperative sepsis.

Another limitation of this study is that we chose to apply ML to a national database as opposed to EHR data. ACS-NSQIP is a very high quality and well-organized surgical database of harmonized and curated data sets, with robust methods for ensuring data fidelity on a predefined number of relevant variables. The small size and high quality of the dataset is one of the main reasons that the ML models we tested did not exceed the performance of logistic regression in this study. In more noisy and uncurated data sets, however, it is likely that ML models would significantly outperform logistic regression. Knowing this, we chose to use ML in the prediction of postoperative sepsis after appendectomy using a well-studied surgical database and relatively consistent disease process and surgical procedure. By using this platform to evaluate ML methods we hoped to reduce potential confounding biases and improve interpretability of our results without sacrificing performance of the models.<sup>25</sup> The results of this study are hypothesis-generating and are meant to fuel deeper understanding of the application of machine learning in generating predictions of surgical complications.

In conclusion, ML methods can be used to predict the development of postoperative sepsis post-appendectomy with moderately high accuracy. In patients who initially present without sepsis, factors associated with the development of postoperative sepsis after appendectomy include recent CHF exacerbation or diagnosis, acute renal failure, and preoperative transfusion. Such predictive models may ultimately allow for recognition of patients at risk for postoperative sepsis after appendectomy prior to surgical intervention potentially facilitating early risk mitigation and improve informed decision making.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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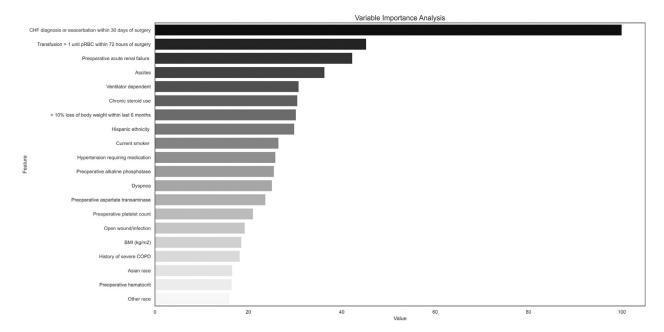


Figure 1. Relative influence of predictors in XGB machines classier model represented on a scale from 0 to 100% where 100% represents the predictor with the greatest influence in the model.

Table I

Variables used as input for prediction models

Demographics	Age, BMI, sex, race, ethnicity
Preoperative risk factors/ comorbid conditions	Smoking, insulin-dependent diabetes, noninsulin-dependent diabetes, dyspnea, ventilator dependency, COPD, ascites, CHF within 30 d preoperatively, hypertension requiring medication, acute preoperative renal failure, preoperatively on/requiring dialysis, disseminated cancer, chronic steroid use, >10% loss of body weight in last 6 mo, bleeding disorders, transfusion 1 unit packed pRBC within 72 hours before surgery, open wound/infection, days to operation, procedural Current Procedural Terminology code
Preoperative laboratory results	Preoperative laboratory results Serum sodium, BUN, serum creatinine, albumin, total bilirubin, aspartate transaminase, alkaline phosphatase, WBC, hematocrit, and platelet count

BUN, blood urea nitrogen; COPD, chronic obstructive pulmonary disease, pRBC, packed red blood cells; WBC, white blood cell.

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70,527 57,124 53,216 53,207 21,823 18,573 15,051 14,177 8,637 8,637	Preoperative laboratory value	No. missing	No. missing Percent missing	Mean	SD
transaminase 57,124 phosphatase 53,216 m²) 21,823 a nitrogen 18,573 e 14,177 e 14,177 od cell count 7,752	Albumin	70,527	31.6%	4.18	.493
phosphatase 53,216  m²) 21,823  a nitrogen 18,573  e 14,177  e 14,177  od cell count 7,752	Aspartate transaminase	57,124	25.6%	24.5	19.8
53,207 an introgen 18,573 e 14,177 e 14,177 dt 8,637 dt 8,078	Alkaline phosphatase	53,216	23.8%	75.6	28.7
ym²) 21,823 rea nitrogen 18,573 15,051 ne 14,177 crit 8,637 crit 7,752	Bilirubin	53,207	23.8%	.786	.624
rea nitrogen 18,573  15,051  ne 14,177  crit 8,637  crit 8,078	BMI $(kg/m^2)$	21,823	8.6	28.6	6.7
15,051 ne 14,177 scrit 8,078 lood cell count 7,752	Blood urea nitrogen	18,573	8.3%	13	5.9
14,177 8,637 8,078 7,752	Sodium	15,051	6.7%	138	2.73
8,637 8,078 7,752	Creatinine	14,177	6.4%	.881	.475
8,078	Platelet	8,637	3.9%	238	64.5
7.752	Hematocrit	8,078	3.6%	41.08	4.461
	White blood cell count	7,752	3.5%	11.707	4.264

BMI, body mass index; SD, standard deviation.

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Table III

Univariate analysis for rate of postoperative sepsis after appendectomy

	No postoperative sepsis	Postoperative sepsis	P value
N	221,073	2,141	
Procedure type (%)			<.001
Appendectomy (44,950)	18,078 (8.2)	282 (13.2)	
Appendectomy for ruptured appendix (44,960)	3,259 (1.5)	220 (10.3)	
Laparoscopic appendectomy (44,970)	199,479 (90.2)	1,631 (76.2)	
Unlisted laparoscopy procedure, appendix (44,979)	257 (0.1)	8 (0.4)	
Age (mean, SD)	39.75 (16.30)	48.09 (18.41)	<.001
BMI (kg/m²; mean, SD)	28.58 (6.69)	29.82 (7.24)	<.001
Female (%)	108,736 (49.2)	884 (41.3)	<.001
Race			<.001
Asian	10,140 (5.9)	69 (4.2)	
Black	15,723 (9.1)	218 (13.2)	
Other	2,401 (1.4)	17 (1.0)	
White	144,911 (83.7)	1,349 (81.6)	
Hispanic	27,804 (15.2)	252 (14.7)	.568
Comorbidities			
Smoking (%)	40,486 (18.3)	429 (20.0)	.043
Noninsulin dependent diabetes (%)	6,029 (2.7)	124 (5.8)	<.001
Insulin dependent diabetes (%)	3,873 (1.8)	106 (5.0)	<.001
COPD (%)	1,995 (0.9)	60 (2.8)	<.001
Ascites (%)	426 (0.2)	9 (0.4)	.033
CHF (%)	272 (0.1)	9 (0.4)	<.001
Hypertension (%)	35,669 (16.1)	642 (30.0)	<.001
Renal failure (%)	125 (0.1)	7 (0.3)	<.001
Dialysis (%)	376 (0.2)	27 (1.3)	<.001
Disseminated cancer (%)	498 (0.2)	21 (1.0)	<.001
Steroid use (%)	2,390 (1.1)	55 (2.6)	<.001
Weight loss (%)	405 (0.2)	13 (0.6)	<.001

	No postoperative sepsis	Postoperative sepsis	P value
Bleeding disorder (%)	3,702 (1.7)	117 (5.5)	<.001
Transfusion 1 units packed red cells within 48 hours preoperatively (%) 159 (0.1)	159 (0.1)	3 (0.1)	.445
Preoperative laboratory values			
Sodium (mean, SD)	138.34 (2.72)	137.37 (3.16)	<.001
BUN (mean, SD)	12.78 (5.82)	15.10 (10.42)	<.001
Creatinine (mean, SD)	0.88 (0.46)	1.06 (1.01)	<.001
Albumin (mean, SD)	4.19 (0.49)	3.98 (0.59)	<.001
Bilirubin (mean, SD)	0.79 (0.62)	0.89 (0.75)	<.001
SGOT (mean, SD)	24.47 (19.87)	25.23 (24.04)	620.
Alkaline phosphatase (mean, SD)	75.25 (28.36)	80.57 (34.48)	<.001
White blood cell count (mean, SD)	11.68 (4.26)	12.78 (4.86)	<.001
Hematocrit (mean, SD)	41.09 (4.46)	40.68 (5.19)	<.001
Platelet (mean, SD)	237.91 (64.34)	240.09 (73.73)	.12
Days from admission to operation (mean, SD)	0.40 (6.79)	0.47 (4.48)	.604
30-d mortality (%)	99 (0.0)	55 (2.6)	<.001
Superficial incisional surgical site infection (%)	2195 (1.0)	99 (4.6)	<.001
Deep incisional surgical site infection (%)	378 (0.2)	61 (2.8)	<.001
Organ space surgical site infection (%)	2192 (1.0)	618 (28.9)	<.001
C.diff infection (%)	166 (0.2)	24 (2.9)	<.001
Perforated (CPT = 44960) (%)	3,259 (1.5)	220 (10.3)	<.001
Pneumonia (%)	387 (0.2)	114 (5.3)	<.001
Urinary infection (%)	902 (0.4)	48 (2.2)	<.001

BMI, body mass index; CPT, Current Procedural Terminology; SD, standard deviation; SGOT, serum glutamic oxaloacetic transaminase.

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Table IV

Performance of postoperative prediction models reported as AUC (95% CI)

	LR	SVM	RFDT	XGB machines	Ensemble model (LR, RFDT, XGB)
Fold 1	0.7152 (0.6844–0.7460)	0.5996 (0.5677–0.6313)	0.7122 (0.6813–0.7430)	0.7165 (0.6857–0.7473)	0.7219 (0.6912–0.7526)
Fold 2	0.7142 (0.6821–0.7463)	0.4824 (0.4510-0.5139)	0.7030 (0.6707–0.7353)	0.7112 (0.6790–0.7434)	0.7169 (0.6848–0.7489)
Fold 3	0.7133 (0.6822–0.7443)	0.5200 (0.4887–0.5511)	0.7152 (0.6842–0.7463)	0.7218 (0.6909–0.7527)	0.7266 (0.6957–0.7574)
Fold 4	0.7074 (0.6767–0.7382)	0.4791 (0.4492–0.5090)	0.7198 (0.6893–0.7503)	0.7238 (0.6933-0.7542)	0.7291 (0.6987–0.7594)
Fold 5	0.7269 (0.6962–0.7575)	0.5122 (0.4813-0.5432)	0.7350 (0.7045–0.7655)	0.7295 (0.6990–0.7602)	0.7388 (0.7084–0.7692)
Average 5-fold CV accuracy	0.7150 (0.7010–0.7289)	0.5136 (0.4997–0.5275)	0.7170 (0.7031–0.7309)	0.7205 (0.7067–0.7344)	0.7266 (0.7128–0.7404)
20% test data *, $\dot{\tau}$	0.6955 (0.6756–0.7304) Sensitivity: 0.6261 Specificity: 0.6509	0.5142 (0.5020–0.5264) Sensitivity: $^{t}$ NA Specificity: $^{t}$ NA	0.6953 (0.6756–0.7304) Sensitivity: 0.6712 Specificity: 0.6067	0.7030 (0.6756–0.7304) Sensitivity: 0.6441 Specificity: 0.6667	0.7074 (0.6871–0.7277) Sensitivity: 0.6464 Specificity: 0.6454

 $^{\ast}$  Results for 20% test data reported as AUC with 95% CI, sensitivity and specificity.

\*Sensitivity and specificity reported using a cutoff value of 0.015 on predicted probabilities (0.015 was chosen as this provided the most balanced Sensitivity and Specificity on the ensemble model).

 $\slash\hspace{-0.4em}^{\not L}\hspace{-0.4em}$  Not calculated due to poor cross-validation performance.

 $\label{eq:Table V} \textbf{Subgroup analysis performed using the ensemble model}$ 

***************************************	ATIC (050/ CT)
Variable	AUC (95% CI)
Sex	
Female	0.72 (0.68–0.76)
Male	0.69 (0.66-0.73)
Procedure (CPT code)	
Appendectomy (44950)	0.64 [0.57-0.72]
Appendectomy for ruptured appendix (44960)	0.61 [0.53-0.69]
Laparoscopic appendectomy (44970)	0.60 (0.66-0.72)
Procedure type	
Open	0.71 (0.66–0.77)
Laparoscopic	0.68 (0.65-0.71)
Race	
White	0.71 (0.67–0.74)
Black	0.78 (0.70-0.85)
Asian	0.68 (0.49-0.87)
Other/Unknown	0.66 (0.60-0.71)

CPT, Current Procedural Terminology.

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Table VI

Prediction model performance calculated using the predicted risk for postoperative sepsis as a risk for postoperative 30-d mortality on 20% test dataset

	LR	SVM	RF	EGB	Ensemble model (LR, RF, XGB)
Sensitivity * 0.8214	0.8214	*NA	0.9286	0.8929	0.8929
Specificity * 0.8723	0.8723	*NA	0.8438	0.8564	0.8952
AUC	0.9192 (0.9016–0.9368)	0.5 (0.4730–0.5269)	0.963 (0.9507-0.9753)	$0.5 \ (0.4730 - 0.5269)  0.963 \ (0.9507 - 0.9753)  0.934 \ (0.9179 - 0.9501)  0.9536 \ (0.9399 - 0.9673)$	0.9536 (0.9399–0.9673)

\*
Sensitivity and specificity reported using a cutoff value of 0.015 on predicted probabilities (0.015 was chosen as this provided the most balanced sensitivity and specificity on the ensemble model).

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